

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Vijay C. CHHABADA et al.

Group Art Unit: 1626

Application Number: 10/553,957

Examiner: Not yet assigned

Filed: October 19, 2005

Confirmation Number: 9977

For:

A PROCESS FOR PREPARATION OF 1-[9H-CARBAZOL-4-

YLOXY]- 3-[{2-(2-(-(METHOXY)PHENOXY)-ETHYL}-AMINO]-

PROPAN-2-OL

Attorney Docket Number:

053229

**Customer Number:** 

38834

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 August 28, 2006

Sir:

In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. This paper is being filed within the time periods set forth in 37 C.F.R. §1.97(b). A copy of each non-U.S. document is enclosed herewith.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

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**Enclosures:** 

PTO/SB/08

1 Document(s)



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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

| Comple                 | ete if Known      |
|------------------------|-------------------|
| Application Number     | 10/553,957        |
| Confirmation Number    | 9977              |
| Filing Date            | October 19, 2005  |
| First Named Inventor   | Vijay C. CHHABADA |
| Art Unit               | 1626              |
| Examiner Name          | Not yet assigned  |
| Attorney Docket Number | 053229            |

|                       | U.S. PATENT DOCUMENTS |                 |                                   |                  |  |  |
|-----------------------|-----------------------|-----------------|-----------------------------------|------------------|--|--|
| Examiner<br>Initials* | Cite<br>No.1          | Document Number |                                   | Publication Date |  |  |
|                       |                       | Number          | Kind Code <sup>2</sup> (if known) | MM-DD-YYYY       | Name of Patentee or Applicant of Cited Documen |  |
|                       | 1                     | US 4,697,022    |                                   | 09-29-1987       | Leinert  |  |
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|                       | 3                     | US 4,985,454    |                                   | 01-15-1991       | Leinert  |  |
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|                       |                       | US              |                                   |                  |  |  |

| FOREIGN PATENT DOCUMENTS |                          |                              |                     |                                   |                  |                             |                          |
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| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document      |                     |                                   | Publication Date | Name of Patentee or         | Translation <sup>6</sup> |
|                          |                          | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       | Applicant of Cited Document |                          |
|                          | 4                        | IN                           | 186587              | Α                                 | 08-17-1999       | M/s. CIPLA LTD.             | N/A                      |
|                          |                          |                              |                     |                                   |                  |                             |                          |
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|                       |              | NON PATENT LITERATURE DOCUMENTS  |  |
|-----------------------|--------------|--|--|
| Examiner<br>Initials* | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation                                      |
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| Examiner Signature | Date Considered |                                       |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.